

Substitute for form 1449A/B/PTO

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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

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| Application Number     | 09/780,224          | F = 1 |
| Filing Date            | February 9, 2001    | 22    |
| First Named Inventor   | ROELVINK, Petrus W. | 787   |
| Group Art Unit         | 1636                | 9/2   |
| Examiner Name          | DAVID 6,020         | JC (  |
| Attorney Docket Number | 208859              |       |

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| Cite<br>No. | Patent Number     | Kind<br>Code | Name of Patentee or Applicant of<br>Cited Document | Date of Publication of Cited<br>Document MM-DD-YYYY              | Class   | Subclass  | Filing Date If<br>Appropriate   |
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|             |                   | Cite         | 0.00   | Cite U.S. Patent Document  Kind Name of Patentee or Applicant of | Cite Kind Name of Patentee or Applicant of Date of Publication of Cited | U.S. Patent Document  Cite Kind Name of Patentee or Applicant of Date of Publication of Cited | U.S. Patent Document  Cite Kind Name of Patentee or Applicant of Date of Publication of Cited |

|                   |             |        |                     |              | FOREIGN PATENT DOCUMEN                             | TS  |       |          |       |          |
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| Examiner Initials | Cite<br>No. | Office | Number              | Kind<br>Code | Name of Patentee or Applicant of Cited<br>Document | Date of Publication of Cited<br>Document MM-DD-YYYY | Class | Subclass | Yes   | No.      |
| ź                 | АА          | wo     | 98/13485            | Α            | Maxygen, Inc.                                      | 04-02-1998  |       |          |       |          |
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| Examiner<br>Initials <sup>1</sup> | Cite<br>No. | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, J., serial, symposium, catalog, etc.), date, page(s), volume-issue number (s), publisher, city and/or country where published. | Trans<br>Yes | No*     |
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<sup>\*</sup> A concise statement of relevance is being submitted in lieu of a translation. 37 CFR § 1.98(b).

| Examiner<br>SigNat. | David Lings | Date<br>Considered | 12/27/61 |  |
|---------------------|-------------|--------------------|----------|--|

<sup>&</sup>lt;sup>1</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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|                  |                    |          | TRADEM!   |                        | Complete if Known                                   |
| Substitute for f | orm 1449A/B/PTO    |          | PAUL      | Application Number     | 09/780,224  |
| INF              | ORMATION           | DIS      | CLOSURE   | Filing Date            | February 9, 2001                                    |
|                  | TEMENT BY          |          |           | First Named Inventor   | ROELVINK, Petrus WECEIVEL                           |
|                  | (11                |          |           | Group Art Unit         | 76.36 AHC 3.1 DOM                                   |
|                  | (Use as many sheet | is as ne | ecessary) | Examiner Name          | DAVID CIUZU   |
| Sheet            | 1                  | of       | 1         | Attorney Docket Number | 208859 TECH CENTER 1600/290                         |

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| Examiner<br>Initials <sup>1</sup> | Cite<br>No. | Patent Number      | Kind<br>Code | Name of Patentee or Applicant of<br>Cited Document | Date of Publication of Cited<br>Document MM-DD-YYYY | Class | Subclass                                     | Filing Date If<br>Appropriate |
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| Examiner<br>Initials <sup>1</sup> | Cite<br>No | Office | Number               | Kind<br>Code | Name of Patentee or Applicant of Cited<br>Document | Date of Publication of Cited<br>Document MM-DD-YYYY | Class | Subclass | Yes   | No.     |
| , = 2                             | ΑI         | WO     | 01/21216             | Α            | UAB Res. Foundation                                | 03-29-2001  |       |          |       |         |
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| Examiner<br>Initials <sup>1</sup> | Cite<br>No.                             | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, J., serial, symposium, catalog, etc.), date, page(s), volume-issue number (s), publisher, city and/or country where published. | Yes | No* |  |  |  |  |  |
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\* A concise statement of relevance is being submitted in lieu of a translation. 37 CFR 1.98(a)(3).

+ An English-language equivalent/patent, or an English-language abstract, or an English-language version of the search report or action by a foreign patent office in a counterpart foreign application indicating the degree of relevance found by the foreign office is being submitted in lieu of a concise explanation of relevance under 37 CFR 1.98(a)(3).

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